



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
KOICHIRO KAWAGUCHI) : Examiner: J. Culler
Appln. No.: 09/900,949) : Group Art Unit: 2854
Filed: July 10, 2001) :
For: RECORDING APPARATUS) : July 7, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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PRELIMINARY AMENDMENT

Sir:

Introductory Comments

The present Amendment has been prepared in accordance with the Revised Format established by the U.S. Patent and Trademark Office, as permitted in the Pre-OG Notice entitled "Amendments in a Revised Format Now Permitted".

Prior to examination on the merits, the Examiner is respectfully requested to amend the above-identified application as follows.



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PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

RCE S

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/900,949
Filing Date	July 10, 2001
First Named Inventor	Koichiro Kawaguchi
Group Art Unit	2854
Examiner Name	Jill E. Culler
Attorney Docket Number	03560.002855

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1.	Submission required under 37 C.F.R. § 1.114
a.	<input type="checkbox"/> Previously submitted
i.	<input type="checkbox"/> Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____ (Any unentered amendment(s) referred to above will be entered).
ii.	<input type="checkbox"/> Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
iii.	<input type="checkbox"/> Other _____
b.	<input checked="" type="checkbox"/> Enclosed
i.	<input checked="" type="checkbox"/> Amendment/Reply
ii.	<input type="checkbox"/> Affidavit(s)/Declaration(s)
iii.	<input type="checkbox"/> Information Disclosure Statement (IDS)
iv.	<input type="checkbox"/> Other _____
2.	Miscellaneous
a.	<input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
b.	<input type="checkbox"/> Other _____
3.	Fees
a.	<input checked="" type="checkbox"/> The Director is hereby authorized to charge any deficiencies in the following fees, or credit any overpayments, to Deposit Account No. <u>06-1205</u>
i.	<input checked="" type="checkbox"/> RCE fee required under 37 C.F.R. § 1.17(e) _____
ii.	<input type="checkbox"/> Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) _____
iii.	<input type="checkbox"/> Other _____
b.	<input checked="" type="checkbox"/> Check in the amount of \$ <u>750.00</u> _____ is enclosed
c.	<input type="checkbox"/> Payment by credit card (Form PTO-2038 enclosed)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	Mark A. Williamson	Registration No. (Attorney/Agent)	33,628
Signature	<u>Mark A. Williamson</u>	Date	July 7, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:

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